

ANTI MONEY LAUNDERING ('AML') AND KNOW YOUR CLIENT ('KYC') POLICY

Oneprime Ltd is strict on ensuring all provisions of the anti-money laundering and counter terrorism financing policy are being followed and this is also part of the company's culture. All necessary measures are taken by the Company in order to detect and counter money laundering and terrorism financing, like creating internal processes subject to the international regulations

It is expected that all employees will also fully observe these standards.

Oneprime Ltd will need to accept and approve each trading account applicant before funding the trading account and before they are able to trade.

Based on all the above, you acknowledge that we may require information from you from time to time to comply with the Financial Intelligence and Anti-Money Laundering Act 2002 as amended by the Anti-Money Laundering (Miscellaneous Provisions) Act 2003, The Financial Intelligence and Anti-Money Laundering Regulations 2003 as amended by the Financial Intelligence and Anti-Money Laundering (Amendment) Regulations 2006, The Prevention of Corruption Act 2002 ("POCA"), the Code on the Prevention of Money Laundering and Terrorist Financing (March 2012), and any other regulation and/ or circular and/or guidelines issued by the Financial Services Commission (the "FSC") from time to time. By signing or submitting an Application Form, opening an account or transacting with us, you undertake to provide us with all information and assistance that we may require to comply with the AML/CTF Laws.

In addition to the above all individuals and entities will be checked against applicable lists of sanctioned countries published by the United Nations and will be subject to periodical re-checks subject to any updates on the lists. If the customer's residence country is included on the list, it may result in failure to open an account and in cases of existing clients such accounts shall be terminated.

Documents you may be asked to provide for KYC

- **Natural Persons**

The following information shall be collected:

- A. True name(s) used;**
- B. Date and place of birth;**
- C. Residential address, city code, telephone number;**
- D. Business address;**

The above mentioned are needed as a basis to verify the customer's identity, data or

information. Furthermore, to deem that identification proof was obtained from a reliable and independent source or from any other source that Oneprime Ltd has reasonable grounds to believe and can be relied upon to identify and verify the identity of the customer, Oneprime Ltd may require one of the below identification documents:

- For verifying the true name(s) and Date and Place of Birth of the customer:

- A. Valid Passport;
- B. National ID Card; and
- C. Current photo-card driving license.

A legible and clear photograph of the customer should be shown on any of the above provided.

- For verifying the current residential address:

- A. Recent utility bill;
- B. Recent Bank statement;
- C. Recent Credit card statement (monthly); and\
- D. Tax identification numbers, Social Security number or Government Service and Insurance System number.

The above should not be older than 3 months from the filing date.

• **Legal Persons**

Oneprime Ltd shall take all reasonable measures to identify the customer in case the customer is a legal person and verify its identity through the following information:

A. Name, Legal form and Proof of Existence including:

- **The Powers that regulate and bind the legal person;**
- **The name of the relevant natural or legal persons on senior management positions;**
- **The address of the registered office;**
- **A principal place of business;**

B. Verify that any person purporting to act on behalf of the customer is authorised to do so; and

C. Identify and verify the identity of that person.

In addition, Oneprime Ltd shall take reasonable measures, on a risk-sensitive basis for the below to verify the identity of the beneficial owner, natural or legal person.

- In case the beneficial owner is a natural person

- A. the identity of the natural person who ultimately has a controlling ownership interest;
- B. the identity of the natural person exercising control through other means; C. the identity of the relevant natural person who holds a senior management position.

- In case the beneficial owner is a legal person

- A. Certification of the existence of the business or enterprise issued by the government. Examples of such document are trust instruments, certified articles of incorporation, government issued business license and/or a partnership agreement;
- B. Principal place of business;
- C. Copies of the By-Laws and latest General Information Sheet, which lists the names of directors/partners and principal stockholders, and secondary licenses;
- D. Proof of business address such as utility bill, lease agreement, etc.
- E. Documents confirming the Company's ownership structure: Certificate of Directors, Certificate of Shareholders and Certificate of Registered Office – if these certificates are not available, please provide Certificate of Incumbency (including number of issued shares, registered address and all directors and shareholders);
- F. Proof of identity for directors and shareholders having a percentage of more than 10% of shares: Copies of passports or national identity cards;
- G. Proofs of address for directors and shareholders (10%+): copies of the utility bill or bank statement issued not more than 3 months ago.

Where applicable, Oneprime Ltd may also require additional information about the legal person in order to comply with the AML/CFT Laws.

Approval Process

Oneprime Ltd will not in any circumstance accept cash deposits nor disburses in order to minimize the risk of money laundering and financing terrorist activities. Oneprime Ltd also reserves the right of refusal of processing a transfer at any stage, where it believes the transfer to be connected in any way to money laundering or criminal activity. It is forbidden for Oneprime Ltd to inform customers that they have been reported for suspicious activity.

In any event that a customer does not present a valid government ID as per the above, and/or we cannot validate the documents provided and/or upon the client's refusal to provide his identification documents and/or any other circumstances that increase the risk of money laundering and terrorist financing are identified, an account will not be opened.

Further to the above, no account will be accepted, without the required identification information.